# graphics and vision gravis



# 2D Face Image Analysis

Probabilistic Morphable Models Summer School, June 2017 Sandro Schönborn University of Basel

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### Contents

Landmarks Fitting





#### Observed Landmarks in 2D





Observed Image

### 2D Face Image Analysis

Morphable Model adaptation to explain image *Bayesian Inference Setup* 

Image Likelihood

Face & Feature point detection *Fast bottom-up methods* 







### **3D** Face Reconstruction





# Fitting as Probabilistic Inference

• Probabilistic Inference Problem:

$$P(\theta|I) = \frac{P(I|\theta)P(\theta)}{N(I)}$$

• Prior:  $P(\theta)$ Statistical face model

Face shape & color (PPCA/GP models):  $s_{\alpha} = \mu + UD\alpha \quad \alpha \sim N(0, I_d)$ 

Scene: illumination, pose, camera

$$N(I) = \int P(I|\theta)P(\theta)d\theta$$

• Likelihood:  $P(I|\theta)$ Image is observation

$$\ell(\theta; I) = \prod_{i \in F} \mathcal{N}(I_i \mid \tilde{I}_i(\theta), \sigma^2 I_3) \prod_{j \in B} b_{BG}(I_i)$$

# MH Inference of the 3DMM

- Target distribution is our "posterior":  $P: \ \tilde{P}(\theta|I) = \ell(\theta;I)P(\theta)$ 
  - Unnormalized
  - Point-wise evaluation only
- Parameters
  - Shape: 50 200, low-rank parameterized GP shape model
  - Color: 50 200, low-rank parameterized GP color model
  - Pose/Camera: 9 parameters, pin-hole camera model
  - Illumination: 9\*3 Spherical Harmonics illumination/reflectance
  - ≈ 300 dimensions (!!)

### Metropolis Algorithm



- Asymptotically generates samples  $\theta_i \sim P(\theta|I)$ :  $\theta_1, \theta_2, \theta_3, \dots$
- Markov chain Monte Carlo (MCMC) Method
- Works with unnormalized, point-wise posterior

### Proposals

- Choose simple Gaussian random walk proposals (Metropolis)  $"Q(\theta'|\theta) = N(\theta'|\theta, \Sigma_{\theta})"$ 
  - Normal *perturbations* of current state
- Block-wise to account for different parameter types
  - Shape
  - Color
  - Camera
  - Illumination
- $N(\boldsymbol{\alpha}' | \boldsymbol{\alpha}, \sigma_{S}^{2} E_{s})$   $N(\boldsymbol{\beta}' | \boldsymbol{\beta}, \sigma_{C}^{2} E_{C})$   $\sum_{c} N(\theta_{c}' | \theta_{c}, \sigma_{c}^{2})$   $\sum_{i} N(\theta_{L}' | \theta_{L}, \sigma_{L,i}^{2} E_{L})$





In practice, we often add more complicated proposals, e.g. shape scaling, a direct illumination estimation and decorrelation

• Large mixture distributions, e.g.

$$\frac{2}{3}Q_P(\theta'|\theta) + \frac{1}{3}\sum_i \lambda_i Q_i^L(\theta'|\theta)$$

Pose

Shape

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# Landmarks Fitting



### **3DMM Landmarks Likelihood**

Simple models: Independent Gaussians

- Observation of landmark locations in image
  - Single landmark position model:  $\mathbf{x}_{i}^{2D}(\theta) = (T_{VP} \circ Pr \circ T_{MV} \circ h_{\alpha})(\mathbf{x}_{i}^{3D})$

$$\ell_i(\boldsymbol{\theta}; \widetilde{\boldsymbol{x}}_i^{\text{2D}}) = N(\widetilde{\boldsymbol{x}}_i^{\text{2D}} | \boldsymbol{x}_i^{\text{2D}}(\boldsymbol{\theta}), \sigma_{\text{LM}}^2)$$

$$T_{MV}(\boldsymbol{x}) = R_{\varphi,\psi,\vartheta}(\boldsymbol{x}) + \boldsymbol{t}$$
$$(T_{VP} \circ Pr)(\boldsymbol{x}) = \begin{bmatrix} \frac{W}{2} * \frac{\boldsymbol{x}}{z} \\ -\frac{h}{2} * \frac{\boldsymbol{y}}{z} \end{bmatrix} + \boldsymbol{t}_{pp}$$

Independent model

$$\ell(\theta; \{\widetilde{\mathbf{x}}_i^{\text{2D}}\}_i) = \prod_i \ell(\theta; \widetilde{\mathbf{x}}_i^{\text{2D}})$$

• Independence and Gaussian are just *simple models* (questionable)

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### Landmarks: Samples





### Results: Landmarks

- Landmarks posterior: Manual labelling:  $\sigma_{LM} = 4$  pix Image: 512x512
- Certainty of pose fit
  - Influence of ear points?
  - Frontal better than sideview?

Yaw, $\sigma_{LM} = 4$ pix	with ears	w/o ears
Frontal	1.4° ± 0.9°	$-1.4^{\circ} \pm 2.7^{\circ}$
Sideview	$24.8^{\circ} \pm 2.5^{\circ}$	$25.2^{\circ} \pm 4.0^{\circ}$
Distance stdev	with ears	w/o ears
Distance stdev Frontal	with ears 22cm	w/o ears 125cm





# Face Model Fitting



 $\theta = (\vartheta, \alpha, \beta)$ :  $\vartheta$  Scene Parameters,  $\alpha$  Face shape,  $\beta$  Face color

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### Independent Pixels Likelihood

Standard choice Corresponds to least squares fitting



 $\ell(\theta; \tilde{I}) = \mathcal{N}(\blacksquare | \blacksquare, \sigma^2 I_3) * \mathcal{N}(\blacksquare | \blacksquare, \sigma^2 I_3) * \cdots$ 

$$\ell(\theta; \tilde{I}) = \prod_{i \in F} \mathcal{N}\left(\tilde{I}_i \mid I_i(\theta), \sigma^2 I_3\right)$$

# Background Model

The face model covers only a small part of the complete target image

$$\ell(\theta; \tilde{I}) = \prod_{i \in F} \ell_i(\theta; \tilde{I}_i)$$

What to do *outside* face region?

- Ignore  $\rightarrow$  strong artifacts
- *Explicit* model







Shrinking



Misalignment

# Explicit Background Model

Add explicit likelihood for background

$$\ell(\theta; \tilde{I}) = \prod_{i \in F} \ell_{F}(\theta; \tilde{I}_{i}) \prod_{j \in B} b_{BG}(\tilde{I}_{i})$$

Why is ignoring bad?

$$\ell(\theta; \tilde{I}) = \prod_{i \in F} \ell_F(\theta; \tilde{I}_i) \iff b_{BG}(\tilde{I}_i) = 1$$

Implicit background model is *always* present but might be inappropriate  $\rightarrow$  better make it explicit!

Arbitrary background: The explicit background model needs to be based on *generic* and *simple* assumptions: Constant model Histogram model

Schönborn et al. «Background modeling for generative image models», Computer Vision and Image Understanding, Volume 136, July 2015, Pages 117–127, doi:10.1016/j.cviu.2015.01.008

### Collective Likelihood

- Independence is not a good assumption Too many observations (100k+): overconfident Colors are correlated
- Model distribution of image distance
  Fit to *empirical* histogram or use model
  Can be any measure extracted on images
- Most-likely solutions match the image with the expected noise level
   A perfect reconstruction is *unlikely*





### Posterior Samples: Fitting Result

- Model instances with comparable reconstruction quality
- Remaining uncertainty of model representation
- Integration of uncertain detection directly into model adaptation





Posterior using collective likelihood

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### Results: Image





Yaw angle:  $1.9^{\circ} \pm 0.2^{\circ}$ 

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### Image: Samples



### **Posterior Shape Variation**



Landmarks posterior, sd[mm]



#### Image posterior, sd[mm]

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### Fitting Results













#### LFW

Images from: Huang, Gary B., et al. Labeled faces in the wild: A database for studying face recognition in unconstrained environments. Vol. 1. No. 2. Technical Report 07-49, University of Massachusetts, Amherst, 2007.













#### AFLW

*Images* from: Köstinger, Martin, et al. "Annotated facial landmarks in the wild: A large-scale, real-world database for facial landmark localization." *Computer Vision Workshops (ICCV Workshops), 2011 IEEE International Conference on.* IEEE, 2011.

# Automatic Fitting

- Detection of *face* and *feature points* 
  - Scanning window & classifier
  - Uncertain results
  - Feed-forward: early hard decisions
- Integration concept
  - Bayesian integration  $\rightarrow$  *Filtering*
  - Metropolis sampling
    → Propose & verify



#### Which box contains the face?





Schönborn, Sandro, et al. "Markov Chain Monte Carlo for Automated Face Image Analysis." *International Journal of Computer Vision* (2016): 1-24.

### **Random Forest Detection**

• Scanning Window



- Classify each patch: face or not
- Search over image
- Search over scales

Random Forest Classifier



Haar Features



- Information gain splitting
- Bagging many trees, depth ~16
- ~200k training patches (AFLW)

### **Bayesian Integration**

#### Detection data



- Different *modality* 
  - Box *F*: position & size
  - Landmarks **D**: certainty
- Detection is uncertain

Bayesian integration

Observation likelihood  $\ell(\theta; F, D) = P(F|\theta)P(D|\theta)$ Bayesian inference

 $P(\theta|F,D) = \frac{\ell(\theta;F,D)P(\theta)}{N(F,D)}$ 

- Likelihood models
  - Detection is observation
  - Different observation models
- Conceptual uncertainty

## **Detection Likelihood**

#### Face detection



Box: position & size of detected face

**p**, s

#### Landmarks detection

Detection map: *certainty* of detecting at position **x** 

 $D(\mathbf{x})$ 



Model: Uncertainty of position and scale

 $\ell(\theta; F) = \mathcal{N}(\boldsymbol{p}|\boldsymbol{x}(\theta), \sigma_{\mathrm{F}}^{2})\mathcal{L}\mathcal{N}(s|s(\theta), \sigma_{\mathrm{S}}^{2})$ 



Model: Best combination of landmarks uncertainty and detection certainty

 $\ell(\theta; D) = \max_{t} \mathcal{N}(t | \boldsymbol{x}(\theta), \sigma^2) D(t)$ 



# Integration by Filtering

• Step-by-step Bayesian inference



- Condition on observations *one after the other*
- *Posterior* of first observation becomes *prior* for next step
  - Each step adds an observation through conditioning with its likelihood
- Equivalent to single-step Bayesian inference

### Filtering: Multiple Metropolis Decisions



Saves computation time if properly ordered

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### Alternatives



# Metropolis: Propose-and-Verify

• Metropolis algorithm formalizes: *propose-and-verify* 

Draw a sample x' from Q(x'|x)ProposeWith probability  $\alpha = \min\left\{\frac{P(x')}{P(x)}, 1\right\}$  accept x' as new sampleVerify

- Decouples *finding* possible solution from *selection* 
  - No need to always provide good solutions in proposals
  - Verification for consistency with the model
- Algorithmic advantage beyond probabilistic Bayesian concept *"Anything that is more* informed *than random walks should improve fitting"*

### Multiple Alternative Proposals

- Metropolis formalizes *propose-and-verify* 
  - Decouples *proposing* possible solution from *validation*
  - No need to always provide good solutions in proposals
- Introduce alternatives through *proposals*



Many candidates



Data-Driven Markov Chain Monte Carlo (DDMCMC): Use data to build more informed proposals



"Anything that is more informed than random walks should improve fitting"

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by courtesy of keystone

# Summary

- Fitting as *probabilistic inference*
- Probabilistic inference is often intractable
- Sampling methods *approximate* by *simulation*
- MCMC methods provide a powerful sampling framework
  - Markov Chain with target distribution as equilibrium distribution
  - General algorithms, e.g. Metropolis-Hastings
- Fitting of the 3DMM as a real inference problem
- MH algorithm to integrate information: Framework
  - *Filtering:* Uncertain information as observation, step-by-step
  - *Propose-and-verify:* Alternatives, multiple hypotheses, heuristics